



**The North Carolina State Bar  
Board of Legal Specialization**

217 E. Edenton St.  
PO Box 25908  
Raleigh, NC 27601  
(919) 828-4620  
[www.nclawspecialists.gov](http://www.nclawspecialists.gov)

**2015  
Application for Recertification as a  
Specialist**

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**Lanice V. Heidbrink, Executive Assistant**

## INSTRUCTIONS

### North Carolina State Bar Application for Recertification as a Specialist

1. **Standards** - Read the North Carolina Plan of Specialization and the standards for recertification in your specialty area. These materials are found at 27 N.C.A.C. 1D, Sect. 1700 *et seq.* and are published in the North Carolina State Bar *Lawyer's Handbook* and on our website, [www.nctbar.org](http://www.nctbar.org).
2. **Fees** - A filing fee of \$250 must accompany this application. All checks should be made payable to the North Carolina State Bar Board of Legal Specialization. Rejection or withdrawal of an application will not entitle an applicant to a refund of the fee or any part of the fee. Late applications received between September 1 and December 31, 2015, will be accepted with an additional \$250 late filing fee. Applications received after December 31, 2015, will not be accepted.
3. **Filing Timeliness** - The application shall be considered timely filed if the application is delivered to the office of the North Carolina State Bar before 5:00 p.m. on September 1, 2015, or is postmarked no later than September 1, 2015.

#### 4. **INSTRUCTIONS FOR COMPLETING APPLICATION FORM**

**A. PLEASE ANSWER EACH QUESTION.** If additional space is needed to answer a question, please attach pages to the application.

**B. WAIVER of STRICT COMPLIANCE** – If an applicant cannot meet the requirements for substantial involvement or CLE for the applicant's specialty, a waiver of strict compliance may be requested. To do so, you must complete the waiver of strict compliance form, available at <http://www.nclawspecialists.gov/forms.asp> and submit the form with your application.

**C. REFERENCES** - Each applicant for recertification is asked to submit the names and addresses of 10 references in support of his or her application. It is preferred that references have experience in the applicable specialty. The extent of a reference's involvement in the applicable specialty and relationship to the applicant will be considered in the evaluation process. All references will be contacted by the Board of Legal Specialization, or its representatives, and asked to complete written peer reference statements. A minimum of 5 peer reference statements must be returned to the Board in order for an application to advance. Reference forms will be sent to the address you provide; please confirm with your reference that the address is correct. The Board, or its representatives, may contact, in person or by telephone, any reference listed by an applicant or any other lawyer or judge qualified to evaluate the applicant's competence and qualification in the specialty area. You are encouraged to advise your peer references (judges and lawyers) that you have listed them on this application and to confirm that they are willing to respond to a peer reference request.

**D. APPLICATION SUPPLEMENT** - Please note the specialties marked with an asterisk(\*) on the following page and submit the application supplement, available on the website, <http://www.nclawspecialists.gov/forms/asp>, with your application in those specialty areas.

5. **Confidentiality** - All information contained in the application, supporting documents, peer reference information and statements shall be confidential unless otherwise subject to disclosure pursuant to the North Carolina Public Records Act. Such information, however, shall be available for use only by the Board of Legal Specialization, the appropriate specialty committee, or any appropriate body or tribunal in the event of an appeal.
6. **Processing of Completed Applications** - Only completed applications will be reviewed by the Board of Legal Specialization. Each applicant will be notified by mail of acceptance or rejection of his or her application. An application is incomplete if it does not include complete answers to every question of the application and copies of all documents requested on the application.
7. **Filing Application** - Applications should be delivered to the State Bar or postmarked on or by September 1, 2015, to:

**North Carolina State Bar Board of Legal Specialization**  
**Attn: Executive Director**  
**PO Box 25908**  
**Raleigh, NC 27611**  
**Or hand delivered to: 217 E. Edenton Street, Raleigh**



The North Carolina State Bar  
Board of Legal Specialization

2015 APPLICATION FOR RECERTIFICATION

Please check the specialty (or subspecialties) to which you are applying:

- |   |  |
|---|--|
| <input type="checkbox"/> Appellate Practice                 | <input type="checkbox"/> Family Law                      |
| <input type="checkbox"/> Business Bankruptcy Law            | <input type="checkbox"/> Immigration Law                 |
| <input type="checkbox"/> Consumer Bankruptcy Law            | <input type="checkbox"/> Commercial Real Property Law    |
| <input type="checkbox"/> Criminal Law - State*              | <input type="checkbox"/> Residential Real Property Law   |
| <input type="checkbox"/> Criminal Law - Federal/State*      | <input type="checkbox"/> Social Security Disability Law* |
| <input type="checkbox"/> Criminal Law -Juvenile Delinquency | <input type="checkbox"/> Trademark Law                   |
| <input type="checkbox"/> Elder Law                          | <input type="checkbox"/> Workers' Compensation Law*      |
| <input type="checkbox"/> Estate Planning and Probate Law    |  |

\* Application Supplement Required

Bar Membership Name	<input type="checkbox"/> Have you retired from the practice of law?
	<input type="checkbox"/> Are you currently an inactive member of the North Carolina State Bar?
Preferred Name	North Carolina State Bar Number

**I. Information to Appear in Specialization Directory\***

A.

Address*				
City	State	Zip Code	Telephone	
Fax	Email Address			
Current Firm or Employer Name and Address				
City	State	Zip Code	Telephone	# of Lawyers at Firm or Employer

**\* IMPORTANT: Your official address on record with the membership department of the State Bar will be used in the annual specialist directory and on our website unless you notify us otherwise. Any updates reported to the State Bar membership department will not automatically update in the specialization database. All address changes must be reported directly to specialization staff.**

The information above is not the same as the information on record with North Carolina State Bar Membership.

The information above is the same as the information on record with North Carolina State Bar Membership.

- B. If you have been certified as a specialist by any organization **other than** the North Carolina State Bar Board of Legal Specialization, list the name and date of each certification and identify the certifying organization:

Name of Certification	Date of Certification	Certifying Organization

**II. States of Licensure**

**List all states (other than North Carolina) in which you are licensed to practice law:**

State	Date of Admission	Membership Number	Member in Good Standing? <input type="checkbox"/> Yes <input type="checkbox"/> No
State	Date of Admission	Membership Number	Member in Good Standing? <input type="checkbox"/> Yes <input type="checkbox"/> No

Do not list North Carolina or provide a letter of good standing for North Carolina. Apply for a letter of good standing from each state listed above. Direct that the letter be mailed directly to the Board of Legal Specialization.

**III. Professional Conduct\***

- A. During the five years since you were certified or last recertified, have you been disbarred, suspended from practice, reprimanded, censured, or otherwise disciplined or disqualified (including the revocation of a pro hac vice admission, disqualification from representation of a client because of misconduct, or a disability or administrative suspension) by a licensing agency, court, or professional organization for conduct as a lawyer or as a member of any profession or organization, or as a holder of any office, public or private? Include court orders and letters of warning and caution in your response.

Yes  No

- B. Are there any such actions pending (unresolved) against you?

Yes  No

- C. For each such action (pending or resolved), state the following:

(1) The disciplinary agency, court, or professional organization: \_\_\_\_\_

(2) Date of notice to you if unresolved or date of discipline, sanction, disqualification or other such action, if resolved: \_\_\_\_\_

(3) If discipline, sanction, or disqualification was issued, attach copy of order or record of such action.

(4) Attach written explanation.

- D. List all malpractice actions filed against you in the five years since your certification or last recertification regardless of whether the action is currently pending or resolved. With regard to each action, state or provide the following (attach additional sheets if necessary):

(1) Name of action:

(2) Date of filing and venue of action:

(3) Explanation of status or resolution:

\*Documentation of grievance history does not have to be attached to this application if you send a letter to the relevant disciplinary agency requesting that the documentation be sent to the Board of Legal Specialization. However, a copy of the letter making such request must be attached to the application. You must request or submit documentation of grievance history with the NC State Bar.

#### **IV. Substantial Involvement**

**Instructions:** Please review the substantial involvement requirements for your specialty area. The requirements can be found at [http://www.nclawspecialists.gov/recertification\\_standards.pdf](http://www.nclawspecialists.gov/recertification_standards.pdf). To qualify for recertification, the applicant's practice in the area of law for which certification is sought must equal or exceed the substantial involvement requirements set forth in the standards for the applicable specialty. Please indicate hours of substantial involvement per year (do not use percentages) and describe your practice during the calendar years listed below. If you do not meet the requirements, in order for your application to be processed, you must complete a waiver of strict compliance form which can be found on the website <http://www.nclawspecialists.gov/forms.asp>.

Number of total years you have practiced in this specialty area? \_\_\_\_\_

2011

Approximate number of hours devoted to the specialty practice area: \_\_\_\_\_  
Brief description of substantive legal work or practice equivalent:

2012

Approximate number of hours devoted to the specialty practice area: \_\_\_\_\_  
Brief description of substantive legal work or practice equivalent:

2013

Approximate number of hours devoted to the specialty practice area: \_\_\_\_\_  
Brief description of substantive legal work or practice equivalent:

2014

Approximate number of hours devoted to the specialty practice area: \_\_\_\_\_  
Brief description of substantive legal work or practice equivalent:

2015 to date

Approximate number of hours devoted to the specialty practice area: \_\_\_\_\_  
Brief description of substantive legal work or practice equivalent:

\* Attach additional pages if necessary.

**V. Continuing Legal Education**

CLE activities must be completed between January 1, 2011, and the end of the current year. Your CLE record will be obtained from the North Carolina State Bar CLE Department. Please attach a page listing any courses that you plan to take after filing this application, as well as any of the following (if applicable) that you would like to be considered for specialization credit which are not included in your NC State Bar CLE record

- **Lectures** prepared and presented at an accredited CLE course;
- **Teaching** in the specialty field at an academic institution;
- **Publication** of a scholarly article in the specialty field;
- **Self-study** review of audio or video tapes, manuscripts of lectures from qualified CLE course (no more than two hours per year shall qualify)
- **Advanced academic coursework** (attendance at courses of instruction at a law school which can be credited toward an advanced degree in the specialty field).

**VI. References**

Identify as references 10 lawyers or judges who are familiar with your competence and qualifications in the specialty. Insofar as possible, select persons who are board certified specialists in the specialty or substantially involved in the area as that term is defined in the certification standards. All references must be licensed and in good standing to practice law in North Carolina. You may not use as a reference persons who are your partners or associates, your relatives by blood or marriage, or persons who are involved with you in a continuing commercial relationship. Applicants for certification in bankruptcy law may not use a bankruptcy judge as a reference. Applicants for certification in immigration law must list at least two peer references who are lawyers or judges with substantial experience in immigration law. Applicants for social security disability law may list references licensed and in good standing to practice law in any jurisdiction in the United States. **Members of the Board of Legal Specialization and members of the applicable specialty committee may not serve as references.** A complete list of committee members is included at the end of the application. You are encouraged to advise your peer references (lawyers and judges) that you have listed them on this application and to confirm that they are willing to respond to a peer reference request. **Use full names (not nicknames) when listing references.** Peer reference forms will be sent to the address you provide; please confirm with your reference that the address you list is correct.

1.

Name	Firm/Employer	Mailing Address
Phone	Email	

2.

Name	Firm/Employer	Mailing Address
Phone	Email	

3.

Name	Firm/Employer	Mailing Address
Phone	Email	

4.

Name	Firm/Employer	Mailing Address
Phone	Email	

5.

Name	Firm/Employer	Mailing Address
Phone	Email	

6.

Name	Firm/Employer	Mailing Address
Phone	Email	

7.

Name	Firm/Employer	Mailing Address
Phone	Email	

8.

Name	Firm/Employer	Mailing Address
Phone	Email	

9.

Name	Firm/Employer	Mailing Address
Phone	Email	

10.

Name	Firm/Employer	Mailing Address
Phone	Email	

**PLEASE DO NOT LIST CURRENT BOARD OR COMMITTEE MEMBERS AS REFERENCES\***

(Prohibition applies only to the specialty for which you are applying.)

**Specialization Board Members (who are lawyers and judges) as of May 2015**

James B. Angell-Chair  
Laura D. Burton -Vice Chair

Larry H. Rocamora  
Robert A. Mason

Teresa H. Vincent  
Lana S. Warlick

**North Carolina State Bar Specialty Committee Members as of May 2015**

**Appellate Law Committee**

Justice Robert H. Edmunds  
Christopher G. Browning Jr.  
Lisa S. Costner  
John J. Korzen  
Frank G. Queen  
Matthew W. Sawchak  
Elizabeth B. Scherer

**Elder Law Committee**

Anthony Nicholson  
Mark E. Edwards  
Letha S. McDowell  
Andrew W. Olsen  
Brent W. Stephens  
Lisa Salines-Mondello  
Dennis J. Toman

**Real Property Law Committee**

Joseph "J.C." Hearne  
Robert W. Allen  
Alison R. Cayton  
Frank W. Erwin  
Nancy S. Ferguson  
Kim W. Gallimore  
John W. King Jr.  
Philip E. Searcy

**Bankruptcy Law Committee**

A. Cotten Wright  
John C. Bircher  
Richard M. Hutson II  
Don R. Kight Jr.  
Jennifer Adams Ledford  
Travis P. Sasser  
Robyn R.C. Whitman

**Estate Planning and Probate Law Committee**

John G. Kelso  
Linda F. Johnson  
H. Chalk Broughton Jr.  
Timothy W. Jones  
Jennifer L. J. Koenig  
Gregory T. Peacock  
Jill L. Peters

**Social Security Disability Law Committee**

Janet M. Lyles  
Andrea G. Farmer  
Frederick "Rick" W. Fleming  
Vance Edward Jennings  
Christa A. McGill  
George C. Piemonte  
Kevin D. Rodgers

**Criminal Law Committee**

Robert C. Kemp  
Lizmar Bosques Rosado  
John K. Fanny  
Mark A. Jones  
J. Matthew Martin  
Joel N. Oakley  
Anand P. Ramaswamy

**Family Law Committee**

Barbara R. Morgenstern  
Mary Craven Adams  
Eugene M. Carr III  
Charles "Whit" Clanton  
G. Elizabeth Hodges  
Evan B. Horwitz  
J. Randal Hunter

**Trademark Law Committee**

Matthew J. Ladenheim  
Arthur J. DeBaugh  
Angela P. Doughty  
David W. Sar  
Maury M. Tepper III  
Edward Timberlake  
Neal B. Wolgin

**Criminal Law-Juvenile Delinquency**

Eric J. Zogry  
John W. Cox Jr.  
Julie C. Boyer  
Mary D. Stansell

**Immigration Law Committee**

Penni P. Bradshaw  
P. Mercer Cauley  
Thomas E. Fulghum  
Hannah F. Little  
George N. Miller  
Helen Parsonage  
Jeffrey B. Widdison

**Workers' Compensation Law Committee**

Vernon R. Sumwalt  
Michael W. Bertics  
Gina E. Cammarano  
Jack S. Holmes  
H. George Kurani  
Elizabeth Stewart Poisson  
Joel K. Turner



**ACKNOWLEDGMENT/RELEASE**

I have read the rules of the North Carolina State Bar Board of Legal Specialization (the Board) and the standards for my specialty. I agree to comply with the rules and the standards for the specialty.

I understand that I may not be recertified as a specialist in more than two fields of law.

I agree to pay all fees required by the Board when due.

I understand that the period of certification is 5 years. If I desire to continue my certification, I must comply with the standards regarding continued certification as a specialist.

By this application, I release from any and all liability and extend absolute immunity to The North Carolina State Bar, the Board, and all individuals, committees, and institutions in any way involved in this certification process including references and informants.

I specifically authorize the Board or any specialty committee acting on its behalf to consult with any persons who may have information relating to my professional qualifications, credentials or character, mental or emotional stability, ethics, behavior, or any other matter reasonably bearing on the criteria for initial and continued certification. This authorization includes inspecting and obtaining any writing that may be material to my recertification. I hereby authorize release of any information and writing, whether privileged or not, requested by the specialty committee and/or the Board. I understand that all information received and made part of my file shall be treated confidentially.

- **Applicant's Initials:** \_\_\_\_\_

I hereby consent to the disclosure of any information relating to any grievance filed against me with the Grievance Committee of the North Carolina State Bar and authorize the release of any information relating thereto to the Board for the purpose of evaluating this application for recertification.

- **Applicant's Initials:** \_\_\_\_\_

By executing this release, I acknowledge that I am specifically authorizing the North Carolina State Bar or any other state of which I am or was a member to provide to the Board any and all information concerning disciplinary complaints filed against me, even if confidential.

- **Applicants Initials:** \_\_\_\_\_

I understand that board certification is a voluntary program and has no effect on my eligibility to practice law in any area of practice even if I am denied recertification.

- **Applicant's Initials:** \_\_\_\_\_

**I UNDERSTAND THAT THE PEER REVIEW PROCESS CANNOT SERVE ITS PURPOSE UNLESS THE INDIVIDUALS FROM WHOM INFORMATION IS REQUESTED ARE GUARANTEED COMPLETE CONFIDENTIALITY. BY APPLYING FOR RECERTIFICATION, I EXPRESSLY AGREE TO WAIVE ANY RIGHT TO REQUEST ANY INFORMATION OBTAINED THROUGH PEER REVIEW AT ANY STAGE OF THE RECERTIFICATION PROCESS.**

- **Applicant's Initials:** \_\_\_\_\_

**I UNDERSTAND THAT BOARD RECERTIFICATION, IF OBTAINED, MAY BE AUTOMATICALLY REVOKED PURSUANT TO THE RULES REGULATING THE NORTH CAROLINA STATE BAR. I AGREE TO SURRENDER ANY CERTIFICATE OF SPECIALTY CERTIFICATION GRANTED IF REVOKED.**

- **Applicant's Initials:** \_\_\_\_\_

**Submission of this application and its attachments constitutes the applicant's verification of the truth of all statements and representations contained herein.**

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Printed Name: \_\_\_\_\_

## APPLICATION CHECKLIST

(For applicant use only-do not send in this checklist with your application)

- I have read and initialed all applicable items on the Acknowledgement/Release
- I have signed and dated the Acknowledgement/Release
- I have enclosed a check for the \$250 application fee made payable to the North Carolina State Bar Board of Legal Specialization and understand that this fee is nonrefundable regardless of the disposition of my application. **Applications received or postmarked after September 2, 2015, will be charged an additional \$250.00 late fee, for a total charge of \$500.00.**
- I have included an application supplement for my application in:
  - Criminal Law
  - Social Security Disability Law
  - Workers' Compensation Law
- I have included a request for a waiver of strict compliance (if applicable).
- If applicable, I have requested that a letter of good standing be sent to the Board of Legal Specialization from any state (other than North Carolina) in which I am licensed to practice law.
- I have provided a copy of the letter(s) sent to a disciplinary agency, court or professional organization (including the NC State Bar Grievance Department) requesting documentation of grievance history and /or any applicable information requested in section III.
- I have made a copy of this application and any attachments for my records.